SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* 2. Date of Event Requiring Statement (Month/Day/Year) 10/18/2016 | | nent 🛛 🚺 | 3. Issuer Name and Ticker or Trading Symbol <u>CRISPR Therapeutics AG</u> [CRSP] | | | | | |
|--|---------------------|-----------------------------|---|---|---|---|---|--|
| (Last) (First) (Middle) C/O CRISPR THERAPEUTICS, INC. | | | 4. Relationship of Reporting Perso Check all applicable) Director | 10% Owner | | 5. If Amendment, Date of Original Filed (Month/Day/Year) | | |
| 200 SIDNEY ST. | | | X Officer (give title below) Chief Scientific O | Other (spe below) Officer | · [0. | oplicable Line) | t/Group Filing (Check | |
| (Street) CAMBRIDGE MA 02139 | | | | | | | y More than One | |
| (City) (State) (Zip) | | | | | | | | |
| Table I - Non-Derivative Securities Beneficially Owned | | | | | | | | |
| 1. Title of Security (Instr. 4) | | | eneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | | 4. Nature of Indirect Beneficial Ownership (Instr. 5) | | |
| Common Shares | | | 450,000 D | | | | | |
| Series B Preferred Shares | | | 55,217 | D | | | | |
| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | |
| Exp | | cisable and ate Year) | 3. Title and Amount of Securit Underlying Derivative Securit | | 4. Conversio or Exercis Price of | | 6. Nature of Indirect Beneficial Ownership (Instr. 5) | |
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Derivative Security | | | |

Explanation of Responses:

Remarks:

<u>/s/ Sven Ante Lundberg</u> ** Signature of Reporting Person <u>10/18/2016</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.