FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPR                 | OVAL      |  |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  Ho Tony W                                    |  |              |            |                        |  | 2. Issuer Name and Ticker or Trading Symbol  CRISPR Therapeutics AG [ CRSP ]  |                         |  |                                   |                    |   |                                   |           | Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)     Director 10% Owner |   |   |  |  |   |
|--|--|--------------|------------|------------------------|--|---|-------------------------|--|-----------------------------------|--------------------|---|-----------------------------------|-----------|--|---|---|--|--|---|
|  | `  | APEUTICS, IN | (Middle)   |                        | 12   | /21/20  | 020                     |  |                                   | `                  | Month/Day/Year)   |                                   |           |  | below)<br>Exec  |   |  | Other (s<br>below)<br>d of R&D                                     |   |
| (Street) CAMBRIDGE MA 02139  |  |              |            |                        | _   4.   | 4. If Amendment, Date of Original Filed (Month/Day/Year)  6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting Person |                         |  |                                   |                    |   |                                   |           |  |   | n   |  |  |   |
| (City)   | (S   |              | (Zip)      |                        |  |   |                         |  |                                   |                    |   |                                   |           |  |   |   |  |  |   |
| Table I - Non-Deriva  1. Title of Security (Instr. 3)  2. Transactic Date (Month/Day/" |  |              |            |                        | ction  | n 2A. Deemed<br>Execution D   |                         | ed<br>n Date,  | 3.<br>Transaction<br>Code (Instr. |                    |   |                                   |           |  | 5. Amou<br>Securitie<br>Benefici  | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)        | : Direct<br>r Indirect   | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|  |  |              |            |                        |  | (WOIIII/Day/Tear)   |                         |  | Code                              | v                  | Amount  | (A) or (D)                        | Price     |  | Reported<br>Transaction(s)<br>(Instr. 3 and 4)  |   | (-) (  |  | (Instr. 4)  |
| Common   | Shares   |              |            | 12/21/2                | 2020   |   |                         |  | M                                 |                    | 23,551  | Α                                 | \$16      | 5.9  | 57,5  | 515(1)  |  | D  |   |
| Common   | Shares   |              |            | 12/21/2                | 2020   |   |                         |  | S <sup>(2)</sup>                  |                    | 1,700   | D                                 | \$148     | .11(3)   | 55,   | ,815  |  | D  |   |
| Common   | Shares   |              |            | 12/21/2                | 2020   |   |                         |  | S <sup>(2)</sup>                  |                    | 900   | D                                 | \$149     | .18(4)   | 54,   | ,915  |  | D  |   |
| Common Shares  |  |              | 12/21/2020 |                        |  |   | S <sup>(2)</sup>        |  | 1,600                             | D                  | \$150.16 <sup>(5)</sup>   |                                   | 53,315    |  |   | D   |  |  |   |
| Common Shares  |  |              | 12/21/2020 |                        |  |   | S <sup>(2)</sup>        |  | 1,100                             | D                  | \$151.58(6)   |                                   | 52,       | 52,215   |   | D   |  |  |   |
| Common Shares  |  |              | 12/21/2020 |                        |  |   | <b>S</b> <sup>(2)</sup> |  | 500                               | D                  | \$152.41 <sup>(7)</sup>   |                                   | 51,715    |  |   | D   |  |  |   |
| Common Shares  |  |              | 12/21/2    | 12/21/2020             |  |   |                         | <b>S</b> <sup>(2)</sup>                                  |                                   | 1,400              | D   | \$147.05 <sup>(8)</sup>           |           | 50,315   |   |   | D  |  |   |
| Common Shares 12   |  |              | 12/21/2    | /2020                  |  |   |                         | <b>S</b> <sup>(2)</sup>                                  |                                   | 8,400              | D   | \$148.38 <sup>(9)</sup>           |           | 41,915   |   |   | D  |  |   |
| Common Shares 12/21/2  |  |              |            | 2020                   | )20  |   |                         | <b>S</b> <sup>(2)</sup>                                  |                                   | 5,000              | D   | \$149.73(10)                      |           | 36,915   |   |   | D  |  |   |
| Common Shares  |  |              | 12/21/2020 |                        | 0  |   | S <sup>(2)</sup>        |  | 2,951                             | D                  | <b>\$150</b> .  | 61(11)                            | 33,       | 3,964  |   | D   |  |  |   |
|  |  | •            | Table II   |                        |  |   |                         |  |                                   |                    | posed of,<br>, convertil  |                                   |           |  | wned  |   |  |  |   |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                                    | Title of 2. 3. Transaction 3A. Deemed Execution Date Execution Date, or Exercise (Month/Day/Year) if any Cor |              |            | 4.<br>Transa<br>Code ( | ansaction of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |   |                         | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                                   |                    | 7. Title ar<br>of Securi<br>Underlyin<br>Derivativ<br>(Instr. 3 a | nd Amor<br>ities<br>ng<br>e Secur | ount 8. I | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)  | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | ly  | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |
|  |  |              |            | Code                   | Sode V   |   | (D)                     | Date<br>Exercisable                                      |                                   | Expiration<br>Date | Title   | Amou<br>or<br>Numb<br>of<br>Share | oer       |  |   |   |  |  |   |
| Stock<br>Option<br>(right to<br>buy)   | \$16.9   | 12/21/2020   |            |                        | M  |   |                         | 23,551   | (12                               | 2)                 | 08/01/2027  | Common<br>Shares                  | 23,5      | 51   | \$0.00  | 236,44  | 9  | D  |   |
| Explanation  | n of Respons   | ses:         |            |                        |  |   |                         |  |                                   |                    |   |                                   |           |  |   |   |  |  |   |

- 1. Includes 217 shares acquired under the CRISPR Therapeutics AG 2016 Employee Stock Purchase Plan.
- 2. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- 3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$147.44 to \$148.29, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
- 4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$148.69 to \$149.59, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
- 5. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$149.755 to \$150.73, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
- 6. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$151.00 to \$151.97, inclusive. The reporting person undertakes to provide to
- the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
- 7. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$152.04 to \$152.60, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
- 8. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$147.00 to \$147.125, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
- 9. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$148.19 to \$149.17, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
- 10. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$149.20 to \$150.195, inclusive. The reporting person undertakes to provide
- to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.

  11. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$150.44 to \$151.03, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
- 12. This option was granted on August 1, 2017 with respect to 260,000 Common Shares of which 25% vested on 8/1/2018 and the remaining 75% vest in 36 equal monthly installments on the final day of each
- 12. This option was granted on August 1, 2017 with respect to 260,000 Common Shares of which 25% vested on 8/1/2018 and the remaining 75% vest in 36 equal monthly installments on the final day of each succeeding calendar month.

## Remarks:

/s/ Michael Esposito, attorney-

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\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

 $Note: File \ three \ copies \ of \ this \ Form, \ one \ of \ which \ must \ be \ manually \ signed. \ If \ space \ is \ insufficient, \ see \ Instruction \ 6 \ for \ procedure.$ 

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.